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### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTTORNEY'S DOCKET NUMBER CHOP-102US

U.S. APPLICATION NO disknown, see 37 CFR 1.5)
To Be Assagred 8 5
PRIORITY DATE CLAIMED

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PC1/US2004	(2222)	15/12/2003 (December 15, 2003)				
TITLE OF INVENTION NOVEL POLYESTERS						
APPLICANT(S) FOR DO/EO/US  Venkatram P. SHASTRI and Xiao-Jun XU						
Applicant	herewith submits to the United States Designated/Elected Office (DO/E	O/US) the following items and other information:				
1. 🗸 Т	This is a FIRST submission of items concerning a submission under 35 U.S.C. 3	71.				
2. T	This is a SECOND or SUBSEQUENT submission of items concerning a submissi	on under 35 U.S.C. 371.				
	This is an express request to begin national examination procedures (35 U.S.C. 3 (5), (6), (9) and (21) indicated below.	871(f)). The submission must include items				
4. 🗸 T	The US has been elected (Article 31).					
5. 🗸	A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
	a.  is attached hereto (required only if not communicated by the Internati	onal Bureau).				
	b. has been communicated by the International Bureau.					
	c. s is not required, as the application was filed in the United States Rece	iving Office (RO/US).				
6. L	An English language translation of the International Application as filed (35 U.S	.C. 371(c)(2)).				
	a. is attached hereto.					
	b. has been previously submitted under 35 U.S.C. 154(d)(4).					
7.	Amendments to the claims of the International Application under PCT Article 19	9 (35 U.S.C. 371(c)(3))				
	a. are attached hereto (required only if not communicated by the Interr	national Bureau).				
	b. have been communicated by the International Bureau.					
	c. have not been made; however, the time limit for making such amend	dments has NOT expired.				
	d. Analysis described and will not be made.					
8. 🔲	An English language translation of the amendments to the claims under PCT	Article 19 (35 U.S.C. 371(c)(3)).				
9. 🗌	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10.	An English language translation of the annexes of the International Preliminary Article 36 (35 U.S.C. 371(c)(5)).	Examination Report under PCT				
Items	11 to 20 below concern document(s) or information included:					
11. 🗸	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
12. 🗸	An assignment document for recording. A separate cover-sheet in compliance	with 37 CFR 3.28 and 3.31 is included.				
13.	A preliminary amendment.					
14.	An Application Data Sheet under 37 CFR 1.76.					
15.	A substitute specification.					
16.	A power of attorney and/or change of address letter.	:				
17.	A computer-readable form of the sequence listing in accordance with PCT Rule	e 13ter.2 and 37 CFR 1.821- 1.825.				
18.	A second copy of the published International Application under 35 U.S.C. 154(	d)(4).				
19.	A second copy of the English language translation of the international application					
20. 🗸	Other items or information: PTO-2038; Unexec Dec/POA; Return Postcard; Cert. of Express Mailin	ng; Copy of PCT/IB 306; Statement Regarding Assignment Transfer.				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

# IAP20 Rec'd PCT/PTO 15 JUN 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

The following fees have been submitted  1.	U.S. APPLICATION				ATTORNEY'S DO		
21. D Basic national fee (37 CFR 1.492(a))	PCT/US2004/041942		CHOP-102US				
22.	I _ *					PTO USE ONLY	
If the witten opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)(-4)	21.			\$ 300			
All other situations.  \$200 \$200  The written continon prepared by ISAUUS of the International preliminary examination report prepared to the written continon prepared by ISAUUS of the International application to the USPTO as an International Search Report prepared by an ISA other than the US. and provided to the USPTO as an International Search Report prepared by an ISA other than the US. and provided to the Office or previously communicated to the USP by the IB.  All other situations.  TOTAL OF 21, 22 and 22 =	If the written opinion pr	repared by ISA/US or the Inter					
If the written opinion prepared by ISAUS or the International preliminary examination report prepared by IPEAUS indicates all claims assistly provisions of PCT Articles 3(1)-(4)					\$ 200		
If the written opinion prepared by ISAUS or the International preliminary examination report prepared by IPEAUS indicates all claims assistly provisions of PCT Articles 3(1)-(4)	22 7 52006	- (27 CED 4 402/b))					
prevously communicated to the US by the IB	If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0  Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100						
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 of refaction RATE (Total Sheets Extra Sheets Number of each additional 50 of refaction RATE (Total Sheets Extra Sheets Number of each additional 50 of refaction RATE (Total Sheets Extra Sheets Number of each additional 50 of refaction RATE (Total Sheets Extra Sheets)   S					\$ 100		
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Sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets			Lin naner over 100 sheets (e	excluding	\$ 600		
Total Sheets	sequence listing of	or computer program listing file	d in an electronic medium).	·			
thereof (round up to a whole number)  -100 =				RATE			
Surcharge of \$130.00 for furnishing the cath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  Total claims  -20 = 16	Total officers Ex			TOTIL			
Surcharge of \$130.00 for furnishing the coath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE    Total claims   -20 =   16	- 100 =	/50 =		x \$250	\$		
Total claims	Surcharge of \$130.00 fo	or furnishing the oath or declar	ation later than 30 months fr		\$		
Total claims			NIIMBED EYTDA	DATE			
Independent claims					# 900		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			<u>` -</u>		Į <b>*</b>		
TOTAL OF ABOVE CALCULATIONS = \$ 1,400  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL = \$ 700  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 700  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = \$ 700  Amount to be refunded: Amount to be charged: \$ 400 mount to be refunded: Amount to be charged: \$ 700  TOTAL FEES ENCLOSED = \$ 700  Amount to be charged: \$ 700  Amount to be refunded: \$ 700 mount to be refunded: \$ 700		<del>-</del>	0		ļ		
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TOTAL FEES ENCLOSED = \$ 700  Amount to be refunded: \$ Amount to be charged: \$  a. A check in the amount of \$	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied		\$				
Amount to be refunded:  a. A check in the amount of \$				\$ 700			
a. A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. In Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit to Account No 18-0350, A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:  Customer Number  OR  Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482  Ratner Prestia, P.O. Box 1596, Wilmington, DE 19899  54,650					Amount to be	\$	
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit to Account No					<b>B</b>	\$	
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit to Account No18-0350 A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:  Customer Number  OR  Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482  RatnerPrestia, P.O. Box 1596, Wilmington, DE 19899  NAME  54,650	a. A check in the	ne amount of \$	to cover the ab	ove fees is enclosed			
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No18-0350 A duplicate copy of this sheet is enclosed.  d.    Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:  Customer Number  OR  Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482  RatnerPrestia, P.O. Box 1596, Wilmington, DE 19899  The Commissioner is hereby authorized to Credit any overpayment to Deposit the Account of the provided in	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.						
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DECIDING TO MINISTER	_			· · · · · · · · · · · · · · · · · · ·	ON NUMBER		

## IAP20 Rec'd PCT/PTO 15 JUN 2006

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ERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) pplicant(s): VENKATRAM P. SHASTRI and XIAO-JUN XU			Docket No. CHOP-102US	
Serial No. 1 6	Filing Date	Examiner	Group Art Unit	
To Be Assigned	Herewith	Not Yet Known	To Be Assigned	
ention: NOVEL POLY	ESTERS		<u> </u>	
I hereby certify that the fo	ollowing correspondence:			
	TAL WITH INDICATED ENC	LOSURES		
	·	,		
		f correspondence)		
Matil Stop PCT, Commissioner for Patent on June 15, 2006	s, P.O. Box 1450, Alexandria, V	A 22313-1450		
		Dennis McDermott  (Typed or Printed Name of	f Person Mailing Correspondence)	
		) , A M	——	
1		(Signature of Person	n Mailing Correspondence)	
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	Note: Each paper must have	e its own certificate of mailing		
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned

Applicant:

Venkatram P. SHASTRI et al.

Filed:

Herewith

Title:

**NOVEL POLYESTERS** 

T.C./A.U.:

To Be Assigned Not Yet Known

Examiner: Docket No.:

CHOP-102US

#### **ASSIGNMENT TRANSFER**

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The attached copy of an Assignment to The CHILDREN'S HOSPITAL OF PHILADELPHIA, Recorded January 29, 2004 at Reel/Frame: 014932/0907 for U.S. Application Number 60/529,176 is transferable to this application for the U.S. National Phase Application of PCT/US2004/041942 filed December 15, 2004 being submitted herewith.

Respectfully submitted,

Jonathan H. Spadt/Reg. No. 45,122 Christopher A. Rothe, Reg. No. 54,650

Attorneys for Applicants

JHS/CAR/ks

Dated: June 15, 2006

**EXPRESS MAIL** 

Mailing Label Number:

EV 723658109 US

Date of Deposit:

June 15, 2006

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dennis McDermott